

Residual Risks from Audit Findings for Epsom and Ewell Borough Council – Progress

No.		Issue	Priority	Progress to date (November 2016)
1.	The council should explore the NDR system reports to enable a detailed listing report to be run	The Academy system is unable to provide a listing of all NDR prepayments as at 31 st March 2016. The system can calculate the total value of NDR prepayments but not individual breakdown.	Medium	Officers have previously explored the potential of improved reporting of NDR with the provider. Following these conversations it became clear that Academy were unable to provide us with the type of reporting we require, limiting our ability to investigate solutions further. Officers will therefore seek advice from other local authorities on if they have found a method for improving reporting.
2.	Ensure that the monitoring of the financial position is a priority throughout the year in light of the stretched capacity of the finance and leadership team	In 15/16 we introduced monthly monitoring, in addition to the standard quarterly monitoring that we undertake. All monitoring is now reported to the Leadership Team with issues identified and remedied as quickly as possible. This new approach will continue and has proven to be successful in tackling financial issues collectively and in a timely manner. The capacity of the Finance Team during a year of change will be monitored closely. An experienced interim Chief Accountant will join from 6 September to ensure adequate cover during the recruitment process to fill the Chief Accountant role permanently	Medium	Monthly monitoring is in place and reported to the Leadership Team. A new Chief Accountant has been appointed and two new accountant roles are being advertised to strengthen the capacity of the Finance Team.